

Declaration for Patent Application

Docket Number: 0609.4110000

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter that is claimed and for which a patent is sought on the invention entitled **A Diagnostic Assay for Alzheimer's Disease: Assessment of A β Abnormalities**, the specification of which is attached hereto unless the following box is checked:

- ☒ was filed on April 18, 1997 (International filing date October 19, 1994);
as United States Application Number or PCT International Application Number 08/817,423; and
was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information that is material to patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application, which designated at least one country other than the United States listed below, and have also identified below any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)			Priority Claimed
_____	_____	_____	<input type="checkbox"/> Yes <input type="checkbox"/> No
(Application No.)	(Country)	(Day/Month/Year Filed)	
_____	_____	_____	<input type="checkbox"/> Yes <input type="checkbox"/> No
(Application No.)	(Country)	(Day/Month/Year Filed)	

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

_____	_____
(Application No.)	(Filing Date)
_____	_____
(Application No.)	(Filing Date)

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or under § 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information that is material to patentability as defined in 37 C.F.R. § 1.56 that became available between the filing date of the prior application and the national or PCT international filing date of this application.

<u>PCT/US94/11895</u>	<u>October 19, 1994</u>	<u>Pending</u>
(Application No.)	(Filing Date)	(Status - patented, pending, abandoned)
_____	_____	_____
(Application No.)	(Filing Date)	(Status - patented, pending, abandoned)

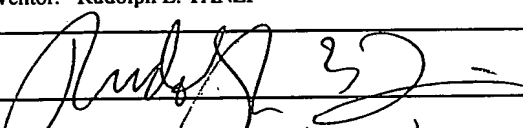
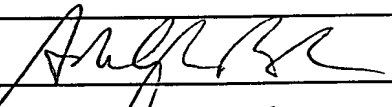

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(202) 371-2600

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: Rudolph E. TANZI	
Inventor's signature	 6/24/97 ^{Date}
Residence	25 Strawberry Lane Canton, MA 02021
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Second Inventor's signature	 7/11/97 ^{Date}
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Citizenship	Australian
Post Office Address	
Full name of third inventor: Robert D. MOIR	
Third inventor's signature	 6/24/97 ^{Date}
Residence	288 E Whittier Place, 3G, Boston MA 02114
Citizenship	Australian
Post Office Address	

Statement Claiming Small Entity Status
(37 C.F.R. §§ 1.9(e) and 1.27(d)) -- Nonprofit Organization

Applicant or Patentee: Rudolph E. TANZI, Ashley I. BUSH and Robert D. MOIR

Appl. or Patent No.: 08/817,423

Attorney Docket No. 0602.4110000/RBP/TJK

Filed or Issued: 35 U.S.C. § 102(e) Date: August 4, 1997

For: A Diagnostic Assay for Alzheimer's Disease: Assessment of AB Abnormalities

I hereby state that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION THE GENERAL HOSPITAL CORPORATION

ADDRESS OF NONPROFIT ORGANIZATION 55 Fruit Street

Boston, Massachusetts 02114

TYPE OF NONPROFIT ORGANIZATION

- ☐ [] University or other institution of higher education
☒ [X] Tax exempt under Internal Revenue Service Code (26 U.S.C. §§ 501(a) and 501(c)(3))
☐ [] Nonprofit scientific or educational under statute of state of The United States of America
(Name of state _____)
(Citation of statute _____)
☐ [] Would qualify as tax exempt under Internal Revenue Service Code (26 U.S.C. §§ 501(a) and 501(c)(3)) if located in The United States of America
☐ [] Would qualify as nonprofit scientific or educational under statute of state of The United States of America if located in The United States of America
(Name of state _____)
(Citation of statute _____)

I hereby state that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 C.F.R. § 1.9(e) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in:

- ☐ [] the specification filed herewith with title as listed above.
☒ [X] the application identified above.
☐ [] the patent identified above.

I hereby state that rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention must file a separate statement indicating their status as small entities and that no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 C.F.R. § 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 C.F.R. § 1.9(d) or a nonprofit organization under 37 C.F.R. § 1.9(e).

Each person, concern or organization having any rights in the invention (other than the nonprofit organization named above) is listed below:

- ☐ [] no such person, concern, or organization exists.
☒ [X] each such person, concern, or organization is listed below:

NAME Prana Corporation Pty. Ltd.

ADDRESS A.C.N. 080 699 065, 58-60 Essay Street, Collingwood 3066, Australia

☐ () INDIVIDUAL ☒ (X) SMALL BUSINESS CONCERN ☐ () NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. § 1.28(b))

NAME OF PERSON SIGNING David J. Glass, Ph.D.

TITLE IN ORGANIZATION Associate Director for Patents

ADDRESS OF PERSON SIGNING Office of Technology Affairs

13th Street, Building 149, Charlestown, MA 02129

SIGNATURE _____

DATE

6/22/99

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

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